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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Rosario Gennaro, et al.      **Examiner:** Harish T. Dass  
**Serial No:** 09/734,086      **Group Art Unit:** 3628  
**Filed:** December 11, 2000      **Docket:** YOR920000598US1 (13893)  
**For:** SECURE ELECTRONIC      **Dated:** July 15, 2004  
STOCKS AND OTHER  
TITLES AND INSTRUMENTS

**OFFICIAL****Confirmation No.:** 3901

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 C.F.R. §1.111**

Dear Sir:

In response to the Office Action dated April 21, 2004, please amend the  
subject patent application as follows.

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**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the U.S.  
Patent and Trademark Office on the date shown below.

**Dated:** July 15, 2004

*John S. Sensny*  
John S. Sensny

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; 5167424366

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400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343 - Telephone  
(516) 742-4366 - Facsimile  
e-mail: [inprop@ssmp.com](mailto:inprop@ssmp.com)

**SCULLY, SCOTT, MURPHY  
& PRESSER****OFFICIAL****Fax**

**To:** Examiner: Harish T. Dass  
Group Art Unit: 3628  
Confirmation No.: 3901  
(703) 872-9306

**From:** John S. Sensny, Esq.

**Fax:** **Pages** 18, including fax cover sheet

**Phone:**

**Date:** ~~July 6, 2004~~ July 15, 2004

**Re:** Re: Rosario Gennaro, et al.  
U.S. Patent Appln. No. 09/734,086  
Filing Date: December 11, 2000  
Our Docket: YOR920000598US1 (13893)

**CC:**

**AMENDMENT UNDER 37 C.F.R. §1.111**

Transmitted herewith are the following:

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.111;
3. Certificate of Transmission by Facsimile, dated July 15, 2004 (in duplicate).

**For:**

Applicant: Rosario Gennaro, et al.

U.S. Patent Appln. No. 09/734,086

Filing Date: December 11, 2000

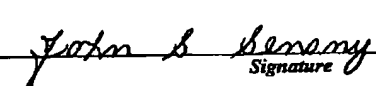
Our Docket: YOR920000598US1 (13893)

Title: "SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS"

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PAGE 2/18 \* RCVD AT 7/15/2004 12:10:07 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/0 \* DNIS:8729306 \* CSID:5167424366 \* DURATION (mm-ss):05-50

AMENDMENT TRANSMITTAL LETTER (Large Entity)				Docket No.	
Applicant(s): Rosario Gennaro, et al.				YOR920000598US1 (13893)	
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/734,086	December 11, 2000	Harish T. Dass	23389	3628	3901
Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS					
<b>COMMISSIONER FOR PATENTS:</b>					
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	16 -	20 =	0 x	\$18.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0 x	\$86.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>50-0510/IBM</b> <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
 Signature			Dated: July 15, 2004		
John S. Sensny Registration No. 28,757  Scully, Scott, Murphy & Presser 400 Garden City Plaza Garden City, New York 11530 (516) 742-4343			<div style="border: 1px solid black; padding: 5px;"><p>certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p><p>_____ Signature of Person Mailing Correspondence</p><p>_____ Typed or Printed Name of Person Mailing Correspondence</p></div>		
cc: JSS:jy					

P11LARGE/REV07